Building Re-Tuning Training Guide: AHU Heating and Cooling Control

Summary

The purpose of the air-handling unit (AHU) heating and cooling control guide is to show, through examples of good and bad operations, how AHU heating and cooling can be efficiently controlled.

AHU heating and cooling coils are generally located in the discharge-air stream, immediately downstream of the mixing plenum and filters, to provide heating or cooling. On some AHUs, the cooling coil will also be used to de-humidify the air stream. This may require the heating coil to be located downstream of the cooling coil for climates where de-humidification is required. It is also possible that a secondary heating coil in the AHU is located in the downstream side of the supply fan or cooling coil. The purpose of this secondary heating coil is to add additional heat to the air stream in cold climate zones, or climate zones where de-humidification is required. By monitoring the outdoor-air temperature and heating- and cooling-coil-valve signals over time, the building operator can identify times when the AHU is simultaneously heating and cooling the discharge-air stream, and make adjustments to AHU operation. If the outdoor-air temperature heating lockout set point is lower than the outdoor-air cooling lockout set point, this may be indicative of a leaking heating and/or cooling-coil valve.

Inefficient operation of heating and cooling coils, such as leaky valves, incorrect outdoor-air temperature lockout set points for heating and cooling, poor heating and cooling control such as hunting and overheating and overcooling, and simultaneous heating and cooling, if not corrected, in all likelihood will lead to increased fan, heating and cooling energy consumption.

Data needed to verify AHU heating and cooling control

To analyze and detect deficiencies in AHU heating and cooling control, for single-duct variable-air-volume (SDVAV) AHU(s), the following parameters must be monitored using the trending capabilities of the building automation system (BAS):

- Outdoor-air temperature (OAT)
- Cooling-coil-valve signal (CCV)
- Heating-coil-valve signal (HCV)
The recommended frequency of data collection is between 5 and 30 minutes. When analyzing heating and cooling control of the AHU, the trends to look for include:

- Are outdoor-air temperature lockout set points for heating and cooling reasonable, do they overlap?

- Is there simultaneous heating and cooling occurring?

**Are outdoor-air temperature lockout set points for heating and cooling reasonable, do they overlap?**

Outdoor-air temperature lockout set points for heating and cooling are critical to prevent additional energy consumption that otherwise is not needed. The balance point of the building, i.e., when the building needs neither heating nor cooling, is critical when setting these lockout set points. In general, the heating should be locked out above 50°F or the lowest outdoor-air temperature that the building can maintain the discharge-air without requiring heat\(^1\). Cooling should be locked out below 55°F or the highest outdoor-air temperature that the building can maintain the discharge-air without requiring cooling. These set points should be checked to ensure that they are not backwards, i.e., the cooling lockout is lower than the heating lockout. There should be no overlap between these set points at any time. The goal is to lockout cooling during the winter season, and heating during the summer season.

**Suggested Actions**

Check the building automation system’s (BAS) control logic for accuracy of heating and cooling lockout set points. Make sure that these lockout set points do not overlap. If the outdoor-air temperature heating lockout set point is lower than the outdoor-air cooling lockout set point, check the heating and cooling-coil valves for leaks.

**Is there simultaneous heating and cooling occurring?**

The goal is to avoid simultaneous heating and cooling from occurring in the AHU. Some simultaneous heating and cooling can be unavoidable in climate zones where dehumidification is required. The goal is to minimize the amount of heating and cooling occurring simultaneously, and to lock out heating or cooling coils whenever possible. Figure 1 below is a guideline for AHU heating- and cooling-coil-valve signals relative to one another.

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1 For some buildings (museums or library) where the AHU provides dehumidification, heating may be required at higher outdoor temperatures.
Figure 1: AHU heating and cooling valve positions.

The data in Figure 1 shows that the heating has been locked out (the hot-water valve is always at 0% while the chilled-water valve modulates). This would correspond to summertime operation, in which the outdoor-air temperature is always greater than 50°F.

When monitoring simultaneous heating and cooling, analyze the heating-coil valve, cooling-coil valve, and outdoor-air temperature versus time charts. Figure 2 below shows this chart for a 2-day period in November. As you can see, the outdoor-air temperature never gets above 30°F, yet the cooling-coil valve is always 75% open. The heating coil appears to be 50% open during occupied hours, and then shuts off during the unoccupied periods. The amount of heating required to meet the discharge-air temperature set point would decrease if the cooling coil was locked when the outdoor-air temperatures are low. This is an example of bad operation. Figure 3 shows an example of good operation, where the same 2-day period in November shows the cooling-coil valve locked out. As a result, the heating-coil valve position has dropped from roughly 50% in Figure 2 to 25% in Figure 3 during occupied hours.
Figure 2: Simultaneous heating and cooling during the winter season.

Figure 3: Cooling-coil valve locked out during winter season.
Figure 4 shows another example of good operation. The outdoor-air temperature varies between 50 and 60°F during this 2-day span in November. The heating-coil valve never opens, and the cooling-coil valve modulates during occupied hours to maintain the discharge-air temperature set point.

**Suggested Actions**

If the plot of the cooling-coil valve, heating-coil valve, and outdoor-air temperature versus time shows simultaneous heating and cooling, check to make sure the coils are clean and that the valves are not leaking. To check the cooling coil for leaks, touch the cooling coil where it penetrates the AHU. If the pipe is colder than room temperature, then this indicates that the valve is leaking (perform the same check on the heating coil). Additionally, if condensate is observed on uninsulated lines or exposed coil sections during times when mechanical cooling is not being called for by the control system or during times when the outdoor-air conditions should be adequate for economizing, then leaking cooling-coil valves should be suspected.

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**Figure 4:** Heating-coil valve closed during AHU cooling mode.